

DARPA Director - signed CFIP Policy Memorandum, dated September 17, 2021 [Universities \(darpa.mil\)](https://www.darpa.mil)

## [CFIP FAQs](#)

**Transparency is the foundation of the policy and process.**

### **CFIP has 4 Main Parts:**

DARPA CFIP Policy, Broad Area Announcement Language, the CFIP Rubric, and the assessment.

The DARPA CFIP **Policy** requires undue **foreign influence risk assessment** of **all proposed senior/key personnel** selected for negotiation of a fundamental research grant or cooperative agreement award.

- **Proposers** must fill out the [SF-424](#) (Research and Related Senior/Key Person Profile (Expanded)) for all Senior/Key Personnel along with biographical sketch and current and pending support, as applicable.
- Does not collect information on nationality and is compliant with Civil Rights Act of 1964 (i.e., information regarding race, color, and national origin is not collected and does not have any bearing on DARPA's assessment)

**The CFIP risk assessment** process will be conducted separately from the **DARPA scientific review** process and adjudicated prior to final award.

**The CFIP Team does this RISK ASSESSMENT** - Comprised of two MSO-SID analysts, exploring innovation/automation tools to help in the review process, support risk mitigation reviews.

Using the [DARPA Rubric](#) they assess **Foreign Talent Program, Denied Entity Lists, Funding Sources and Foreign Institutions or Entities** activities as **Low, Moderate, High and Very High**. The existence of disclosures involving any of the four factors in this rubric is not automatically disqualifying.

- University research institutions with proposals selected for negotiation that have been assessed as high or very high risk **will be given opportunity during the negotiation process to mitigate the risk**

CFIP security program identifies potential undue foreign influence risk factors involving conflict of commitment (CoC) or conflict of interest (Col):

- Informed by other Federal Programs as well as the USD (R&E) S&T Protection Working Group activities
- Utilizes volunteered ([SF-424](#)) and **publicly-available information** to assess risk ([Consolidated Screening List \(trade.gov\)](#))<sup>1</sup> Per an 1/24/2022 email with Tina Bosworth, everything on this list is covered in our standard Visual Compliance Check.
- Focus is on pre-award vs post award; **DARPA's CFIP team does not launch investigations**
- Assigns risk ratings of LOW to VERY HIGH ([DARPA Rubric](#)) for proposing individuals deemed selectable
- Informs DARPA Program Managers, Office Directors, Scientific Review Officials, and DARPA Deputy Director (When applicable)
- Does not restrict or deny research
- **Provides sponsoring institutions the opportunity to address and mitigate potential Col/CoC risk factors. See the [CFIP Review Negotiation/Mitigation Flow Chart](#).**

Using their [CFIP Review Negotiation/Mitigation Flow chart](#), upon conclusion of negotiations, DARPA may decide not to award if:

Participation of **any senior/key research** personnel, despite mitigation, still represents a high or very high risk or Proposed mitigation affects Government's confidence in **proposers** capability to complete research (e.g., less qualified senior/key research personnel)

<sup>1</sup> Searches the following lists: 1 Correspondent Account of Payable-Through Account Sanctions (CAPTA), 2 Non-SDN Chinese Military-Industrial Complex Companies List (CMIC) – Treasury Department, 3 Denied Persons List (DPL) – Bureau of Industry and Security, 4 Entity List (EL) – Bureau of Industry and Security, 5 Foreign Sanctions Evaders (FSE) – Treasury Department

Any decision not to award will be predicated upon reasonable disclosure of pertinent facts and reasonable discussion of possible alternatives while balancing program award timelines.

**Current UC DARPA Proposals** <http://research-dashboards.uc.edu/sponsored-research-dash/>

10/29/21   Pre Proposal DARPA	\$3,463,216	Pre-Proposal: Determining the Regenerative Healing Mechanism using Selective Genetics and Tissue Engineering Approaches	Shearn, Jason CEAS - Biomedical Eng
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**PREVIOUS UC received DARPA awards From 2008 to 2017**

12/08/16	\$0	Biochronicity: Time, Evolution, Networks And Function 12-DARPA-1068	Hogenesch, John COM Pharmacology & Systems Physiology
05/16/16	\$16,124	Biochronicity: Time, Evolution, Networks And Function 12-DARPA-1068	Hogenesch, John COM Pharmacology & Systems Physiology
05/16/16	\$214,338	Biochronicity: Time, Evolution, Networks And Function 12-DARPA-1068	Hogenesch, John COM Pharmacology & Systems Physiology
09/16/14	\$504,403	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
05/16/14	\$533,430	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
12/27/13	\$611,976	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
08/22/13	\$92,677	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
06/28/13	\$500,000	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
06/28/13	\$500,000	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
12/27/12	\$500,000	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
02/08/12	\$599,508	Intergovernmental Personnel Act Agreement for Timothy Broderick	Broderick, Timothy J. COM Surgery
02/06/12	\$810,960	Uncovering General Principles of Network Dynamics of Circadian Rhythms, Cell Cycle, DNA Damage Response and Metabolism as Interconnected Modules.	Hong, Christian COM Pharmacology & Systems Physiology
10/27/11	\$20,000	Development of Manufacturing and Integration Approaches for Inertial Microfluidic Lab-on-a-Chip	Papautsky, Ian CEAS - Elec Eng & Computer Science
08/10/10	\$49,998	Thermal Ground Plane (TGP) Proposal	Gerner, Frank M CEAS - Mech Eng and Materials Eng
05/25/10	\$608,518	Intergovernmental Personnel Act Agreement for Timothy Broderick	Broderick, Timothy J. COM Surgery
03/25/09	\$59,872	Thermal Ground Plane (TGP) Proposal	Gerner, Frank M CEAS - Mech Eng and Materials Eng
02/05/08	\$62,229	Thermal Ground Plane (TGP) Proposal	Gerner, Frank M CEAS - Mech Eng and Materials Eng